|  |
| --- |
| ACE Cargo Release/ SE |

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| --- |
| *This chapter provides records pertaining to ACE Cargo Release (formerly known as Simplified Entry) processing.* |

# TRANSACTION PROCESSING [SE-5](#PROCESSING)

A description of the records required to process an ACE Cargo Release (SE) transaction.

# INPUT RECORDS USAGE MAP [SE-8](#INPUT)

# OUTPUT RECORDS USAGE MAP [SE-9](#OUTPUT)

# Importer Security Filing (SF) INPUT RECORDS USAGE MAP ...[SE-13](#ISFUsageMap)

# RECORD DESCRIPTIONS

### Record Identifier SE10 (Input) [SE-14](#SE10)

A mandatory record that provides data pertaining to the update action.

### Record Identifier SE11 (Input) [SE-17](#SE11)

This is a conditional record that provides additional data according to the specific entry needs.

**Record Identifier SE13 (Input)** [**SE-19**](#SE13)

This is a conditional record that provides data pertaining to corrections/cancellation requests.

### Record Identifier SE15 (Input) [SE‑18](#SE15)

A mandatory record that provides data pertaining to the bill of lading numbers.

**Record Identifier SE16 (Input)** [**SE-25**](#SE16)

This is a conditional record that provides conveyance data pertaining to the preceding bill of lading.

**Record Identifier SE17 (Input)** [**SE-26**](#SE17)

This is an optional record that provides equipment data pertaining to the preceding bill of lading.

### Record Identifier SE20 (Input) [SE-27](#SE20)

A conditional record that provides reference data.

### Record Identifier SE30 (Input) [SE-28](#SE30)

A mandatory record that identifies the commercial entities being reported at the header level.

### Record Identifier SE35 (Input) [SE-30](#SE35)

A conditional record that is used to report the street number, street name and additional addressing information, such as the suite/floor number and building name.

### Record Identifier SE36 (Input) [SE-31](#SE36)

A conditional record that is used to report geographical data pertaining to the city, state/province, postal code and country.

### Record Identifier SE40 (Input) [SE-32](#SE40)

A mandatory record that provides data pertaining to the line number and country of origin.

### Record Identifier SE50 (Input) [SE-33](#SE50)

A mandatory record that identifies the commercial entities being reported at the line level.

### Record Identifier SE55 (Input) [SE-35](#SE55)

A conditional record that is used to report the street number, street name and additional addressing information, such as the suite/floor number and building name.

### Record Identifier SE56 (Input) [SE-36](#SE56)

A conditional record that is used to report geographical data pertaining to the city, state/province, postal code and country.

### Record Identifier SE60 (Input) [SE-37](#SE60)

A mandatory record used to report the tariff number and line item value.

**Record Identifier SF10 (Input)** [**SE-38**](#SF10)

A mandatory record that provides the ISF Importer number to which the ISF submission is related.

**Record Identifier SF20 (Input)** [**SE-42**](#SF20)

This is a conditional input record that provides reference data.

**Record Identifier SF25 (Input)** [**SE-43**](#SF25)

This is an optional input record that is used to report information for each container.

**Record Identifier SF30 (Input)** [**SE-44**](#SF30)

A mandatory record that identifies the commercial entity being reported.

**Record Identifier SF31 (Input)** [**SE-47**](#SF31)

An optional record that provides the secondary name of the commercial entity.

**Record Identifier SF35 (Input)** [**SE-48**](#SF35)

A conditional record that is used to report the street number, street name and additional addressing information, such as the suite/floor number and building name.

**Record Identifier SF36 (Input)** [**SE-49**](#SF36)

A conditional record that is used to report geographical data pertaining to the city, state/province, postal code and country.

### Record Identifier SE90 (Output) [SE-50](#SE90)

This is a mandatory output record used to provide acceptance/rejection information to the filer.

**Appendix A**

**Instructions for Submission of Documents via E-mail to CBP Document Imaging System (DIS) SE-58**

Table of Changes

| **Revision Number** | **Date of Change** | **Section(s) Affected** | **Brief Description of Change** |
| --- | --- | --- | --- |
| 14 | Jan 31, 2015 | 1. Table of Contents 2. Updated rules for ACE Cargo Release Test 3. Input Records Usage Map 4. Record Layouts | Added Appendix A  Added and updated entry types in SE10 record.  Added mode of transportation codes in SE10 record.  Added new element and note to SE15 record.  Added new element and note to SE15 record.  Added new element to SE16 record.  Added new element to SE60 record. |
| 13 | Dec 8, 2014 | 1. Input Records Usage Map 2. Output Records Usage Map 3. Record Layouts | Updated max use count for Header Level Entity Grouping in input records usage map and output records usage map. Updated Notes 2 and 9 of SE10 record. Updated Note 2 for SE11 record. Updated description for elements in SE13 record. Added Note 3 to SE15 record. Updated description for elements on SE17 record. Updated Note 1 of SE20 record. Added details about Buyer and Ship To entities in SE30 record. Updated Note 1 of SE30 record. Added details about Buyer and Ship To entities in SE50 record. Updated Note 1 of SE50 record. |
| 12 | August 26, 2014 | 1. Table of Content 2. Usage Map 3. Transaction Processing 4. Record Layouts | Updated max use count for SF31 record in the Importer Security Filing Input Record Usage map. Updated Notes 5, 9, and 10 of SE10 record. Added new elements to SE11 record. Added Notes 2 and 3 for SE11 record. Added Reference Qualifiers EXP and KII to SE20 record and added text to Note 1. Updated description and Note 1 of SE30 record. Updated description and Note 1 of SE50 record. Updated description, Note 4 and Note 5 of SF10 record. Updated description and Note 2 of SF30 record. |
| 11 | April 30, 2014 | 1. Table of Content 2. Usage Map 3. Transaction Processing 4. Record Layouts | Added listings for ISF Usage map and SF10, SF20, SF25, SF30, SF31, SF35, SF36 records to the Table of Content.  Added Note 1 to Input Usage Map.  Added Input Usage Map for ISF reporting.  Updated Transaction Processing detail for Unified Entry/ISF filing.  Added text to Note 1 of SE20 record clarifying input format of replacement entry number.  Added text to Note 1 of SE15 record clarifying that Bill Quantity is not reported in the SE15 when the Bill Type Indicator is “I” (In-Bond).  Added text to Note 1 of SE30 record to provide for BKP (Booking Party).  Edited descriptive text of SE40 to clarify that this record occurs only once in an SE Line Grouping.  Added SF10, SF20, SF25, SF30, SF31, SF35, SF36 records.  Updated Rules for Phase Three of the ACE Cargo Release Test.  Updated Note 6 on SE10 record.  Added Reference Qualifiers V1 and AMT to SE20 record. |
| 10 | Feb 07, 2014 | 1. Transaction Processing 2. Record Layout | Added Truck mode of transportation.  Updated Note 5 on SE10 record.  Updated Note 2 on SE30 and SE50 records. |
| 9 | Nov 15, 2013 | 1. Record Layouts | Added SE11 record to allow reporting data for certain entry types.  Added SE17 record to allow reporting container or equipment data.  Added data element to SE60 record to allow reporting zone status. |
| 8 | July 24, 2013 | 1. Record Layouts | Added data element to the SE10 record to allow the reporting of a split shipment release code.  Added SE13 record and added qualifiers to the SE20 record to allow the reporting of additional data elements for a correction / cancellation request.  Added qualifiers to the SE15 record to allow reporting in-bond number.  Added SE16 record to allow reporting of conveyance information for a split bill.  Added a message type code to the SE90 record to indicate that a correction / cancellation request has been referred to human review. |
| 7 | May 25, 2012 | 1. Transaction Processing 2. Record Layouts | Correction to transaction processing commentary.  Modified Note 1 in the SE10 record. |
| 6 | March 7, 2012 | 1. Record Layouts | Modified data element names in the SE40 and SE60 records. |
| 5 | February 28, 2012 | 1. Transaction Processing 2. Record Layouts | Added more detail to the transaction processing commentary.  Modified SE10 record to remove Bond Holder |
| 4 | February 21, 2012 | 1. Usage Map 2. Record Layouts | Updated the usage map to add a looping structure for the SE15 record.  Modified SE10 record to allow for possible future expansion of the Entry Filer Code and/or Entry Number; to add action code ‘U’; and to rename the Estimated Shipment Value element to Estimated Entry Value.  Modified SE15 record to clarify how to report Bill of Lading information. |
| 3 | January 18, 2012 | 1. Transaction Processing 2. Usage Map 3. Record Layouts | Correction to transaction processing commentary.  Correction to output usage map.  Removed FR as a supported entity identifier qualifier. |
| 2 | December 16, 2011 | 1. Table of Content 2. Transaction Processing 3. Record Layouts | Added commentary and rules for the Initial Pilot Test Phase.  Added Simple / Regular Bill of Lading type in SE15 record.  Renamed line level party records. |
| 1 | November 14, 2011 | 1. Table of Content 2. Record Layouts | Initial Draft |

**ACE Cargo Release**

The ACE Cargo Release chapter contains sections pertaining to SE transaction processing.

#### Transaction Processing

ACE Cargo Release processing in this submission will be available to importers and customs brokers acting on behalf of an importer. The transaction set identifier for this input set is SE, and the response from CBP will be in the SX output transaction set. The cargo release processing status from CBP will be in the SO output transaction set described in the SO chapter of the CATAIR.

To delete a previously accepted ACE Cargo Release, only the SE10 record is required; the Mandatory/Conditional status indicated for all other records applies to “Add” or “Replace” actions only. After a "D" (Delete) action has been accepted by CBP, the entry remains on file with a status of Cancelled. The entry number associated with the Cancelled entry cannot be reused.

An ACE Cargo Release can be associated with one or more Bills of Lading. With the exception of a single entry for a Split Shipment (i.e. cargo tendered to the carrier as a single shipment under a single bill of lading for carriage on a single conveyance, and subsequently split by the carrier for transport on multiple conveyances) all Bills of Lading must arrive at the U.S. Port of Unlading on a single conveyance.

One or more SE15 records may be used to report all the required Bill of Lading numbers. For Air mode of transportation:

* If an Express Carrier Tracking Number is used, then the AWB prefix must be reported along with the tracking number in the Bill of Lading Number data element.
* If a House Bill of Lading is issued for the cargo under a Master Bill of Lading, then two SE15 records must be used with the AWB Prefix and Master Bill of Lading number reported in the Bill of Lading Number data element of the first record, and the House Bill of Lading number reported in the Bill of Lading Number data element of the second record.
* If a Simple Bill of Lading number is used, then AWB prefix must be reported along with the Simple Bill of Lading number in the Bill of Lading Number data element.

There is one Importer of Record per ACE Cargo Release transaction, and this is reported in the SE10 record only; all other required parties can be reported at the header or line level. The SE30, SE35, and SE36 records are used to report a party at the header level; the SE50, SE55, and SE56 records are used to report a party at the line level. Consignee (CN) and Manufacturer (MF) are required at the line level; however, if all line items have the same Consignee or Manufacturer, then that party may be reported at the header level only and will be deemed to be associated to all line items. The remaining parties are required at the header level, though may be reported at the line item if that allows for more accurate reporting.

The Importer of Record and Consignee are reported using an identifier in an Importer of Record number format. All the other parties are required to be reported using a name and address.

The output transaction will always return the SE10 and SE15 records, as well as any record on which an error occurs. Each record that has an error will be followed by one or more SE90 error record describing the error. A final transaction-level SE90 record is returned at the end of the transaction indicating the overall acceptance or rejection of the transaction.

#### Key Data Elements To Be Filed

|  |  |  |
| --- | --- | --- |
| **Data Element Name** | **Record Identifier** | **Requirement** |
| Importer of Record Number | SE10 record | **Mandatory** |
| Buyer Name and Address | SE30, SE35, and SE36 records; or SE50, SE55, and SE56 records | **Mandatory** |
| Consignee Number | SE30 record or SE50 record | **Mandatory** |
| Seller Name and Address | SE30, SE35, and SE36 records; or SE50, SE55, and SE56 records | **Mandatory** |
| Ship To Party Name and Address | SE30, SE35, and SE36 records; or SE50, SE55, and SE56 records | **Optional** |
| Consolidator Name and Address | SE30, SE35, and SE36 records; or SE50, SE55, and SE56 records | **Optional** |
| Container Stuffing Location Name and Address | SE30, SE35, and SE36 records; or SE50, SE55, and SE56 records | **Optional** |
| Manufacturer / Supplier Name and Address | SE30, SE35, and SE36 records; or SE50, SE55, and SE56 records | **Mandatory** |
| HTS 10-digit number | SE60 record | **Mandatory** |
| Country of Origin | SE40 record | **Mandatory** |
| Bill of Lading / House Air Waybill Number | SE15 record | **Mandatory** |
| Bill of Lading issuer code | SE15 record | **Conditional** |
| Entry Number | SE10 record | **Mandatory** |
| Entry Type | SE10 record | **Mandatory** |
| Estimated Entry Value | SE10 record | **Mandatory** |
|  |  |  |

#### Rules for Phase Three of the ACE Cargo Release Test

1. Only Air, Ocean, Rail and Truck modes of transportation;
2. Only Type 01, 11 and 03 consumption entries;
3. Only a continuous bond and an electronically-filed single transaction bond may be used to support the entry filing at this time;
4. The filing of entries on unassembled or disassembled entities will not be supported.
5. If the port of entry is different from the port of unlading, no release will be issued unless an In-Bond is found to be associated with the bill of lading in the CBP system.
6. If an In-Bond number is provided in the entry submission, the Planned Port of Entry must also be provided.
7. When the ACE Cargo Release (SE) transaction is used the corresponding entry summary must be filed in ACE.
8. Entries that require additional data for another government agency will not be accepted unless the specific PGA filing is one that CBP has announced it is supporting with the SE transaction data set.
9. Use of CBP-created Encrypted Number [Format: ‑**CCCCCCCCCCC]** forConsignee is not accommodated for the SE transaction at this time.
10. In a Unified Entry/ISF filing, the Importer of Record for Entry purposes and the ISF Importer must be the same entity, with the same Importer of Record Number.
11. In a Unified Entry/ISF filing, the entry must be for the full manifested bill of lading quantity.
12. In a Unified Entry/ISF filing, the same bond must cover both the import entry/entry summary transaction and the Importer Security Filing transaction.

#### General Rules

Unused fields, which are defined as numeric, should not be zero-filled. These fields should be space filled or data errors may result.

*Data should not be placed in fields defined as filler. If data is present in filler fields, an error message may be system generated.*

When transmitting data, all letters must be upper case. While some applications may not return specific error messages when detecting lower‑case letters, CBP cannot guarantee the consistency of processing results for data transmitted in lower case.

**Data Elements**

The data element descriptors are defined as:

**Code Description**

**A** The data element is alphabetic only.

**AN** The data element is alphanumeric only.

**C** The data element is special characters.

**N** The data element is numeric only.

**X** The data element is alphanumeric or special characters.

All data elements are in fixed-length format. If all positions are not filled, the formatting rules are:

**Code Description**

**A** Left justify and Space fill.

**AN** Left justify and Space fill.

**C** Left justify and Space fill.

**N** Right justify and zero-fill.

**X** Left justify and Space fill.

***Note***: **If there is no data to insert in a field, Space fill.**

**INPUT RECORD USAGE MAP**

*The following tables illustrate how the automated interface expects repeating groups to be structured within an input message.*

**Record Req. Max Loop**

**ID Name Des. Use Repeat Notes**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | Block Control Grouping | M |  | 1 | **1** |  |  |  |  |
|  | Transaction Control Headers (A,B) | M |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |
|  | SE Header Grouping | M |  | 999 |  |  |  |  |  |
| SE10 | SE Header | M | 1 |  |  |  |  |  |  |
| SE11 | SE Additional Header | O | 1 |  |  |  |  |  |  |
| SE13 | Correction / Cancellation information | O | 1 |  |  |  |  |  |  |
|  | Bill of Lading Grouping | C |  | 999 |  |  |  |  |  |
| SE15 | Bill of Lading information | M | 3 |  |  |  |  |  |  |
|  | Conveyance Grouping | C |  | 99 |  |  |  |  |  |
| SE16 | Conveyance information | M | 1 |  |  |  |  |  |  |
|  | Equipment Grouping | O |  | 99 |  |  |  |  |  |
| SE17 | Equipment information | M | 1 |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |
| SE20 | Reference information | C | 99 |  |  |  |  |  |  |
|  | Header Level Entity Grouping | C |  | 8 |  |  |  |  |  |
| SE30 | Entity Name and Type | M | 1 |  |  |  |  |  |  |
| SE35 | Entity Street Address | C | 3 |  |  |  |  |  |  |
| SE36 | Entity City and Country | C | 1 |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |
|  | SE Line Grouping | C |  | 999 |  |  |  |  |  |
| SE40 | Line Item | M | 1 |  |  |  |  |  |  |
|  | Line Level Entity Grouping | C |  | 99 |  |  |  |  |  |
| SE50 | Entity Name and Type | M | 1 |  |  |  |  |  |  |
| SE55 | Entity Street Address | C | 3 |  |  |  |  |  |  |
| SE56 | Entity City and Country | C | 1 |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |
|  | Harmonized Tariff Schedule Grouping | M |  | 8 |  |  |  |  |  |
| SE60 | Harmonized Tariff Schedule | M | 1 |  |  |  |  |  |  |
|  | PGA Grouping | C |  | 999 |  |  |  |  |  |
| OI |  | M | 1 |  |  |  |  |  |  |
| PG01 |  | M | 1 |  |  |  |  |  |  |
| … |  |  |  |  |  |  |  |  |  |
| PG35 |  | C | 1 |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |
|  | Transaction Control Trailers (Y,Z) | M |  |  | **1** |  |  |  |  |

Designation: M = Reporting Mandatory; C = Reporting Conditional; O = Reporting Optional

**Note 1**

Transaction Control Headers and Trailers must conform to the requirements set out in the ACE CATAIR ABI Batch & Block Control Chapter**OUTPUT RECORD USAGE MAP**

*The following tables illustrate how the automated interface will structure repeating groups within an output response message.*

**Record Req. Max Loop**

**ID Name Des. Use Repeat Notes**

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | Block Control Grouping | M |  | 1 |  |  |  |  |  |  |
|  | Transaction Control Headers (A,B) | M |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | SE Header Grouping | M |  | 999 |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | SE Header Error Grouping | M |  | 1 |  |  |  |  |  |  |
| SE10 | SE Header | M | 1 |  |  |  |  |  |  |  |
| SE90 | Error Record | C | 9 |  |  |  |  |  |  |  |
| SE11 | SE Additional Header | M | 1 |  |  |  |  |  |  |  |
| SE90 | Error Record | C | 9 |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | Correction / Cancellation Error Grouping | C |  | 99 |  |  |  |  |  |  |
| SE13 | Correction / Cancellation information | M | 1 |  |  |  |  |  |  |  |
| SE90 | Error Record | C | 9 |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | Bill of Lading Grouping | C |  | 999 |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | Bill of Lading Error Grouping | C |  | 3 |  |  |  |  |  |  |
| SE15 | Bill of Lading information | M | 1 |  |  |  |  |  |  |  |
| SE90 | Error Record | C | 9 |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  | s |  |  |
|  | Conveyance Grouping | C |  | 99 |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | Conveyance Error Grouping | C |  | 1 |  |  |  |  |  |  |
| SE16 | Conveyance information | M | 1 |  |  |  |  |  |  |  |
| SE90 | Error Record | C | 9 |  |  |  |  |  |  |  |
|  | Equipment Grouping | C |  |  | 99 |  |  |  |  |  |
|  | Equipment Error Grouping | C |  | 1 |  |  |  |  |  |  |
| SE17 | Equipment information | M | 1 |  |  |  |  |  |  |  |
| SE90 | Error Record | C | 9 |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | Reference Error Grouping | C |  | 99 |  |  |  |  |  |  |
| SE20 | Reference information | M | 1 |  |  |  |  |  |  |  |
| SE90 | Error Record | C | 9 |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | Header Level Entity Grouping | C |  | 8 |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | Entity Name and Type Error Grouping | C |  | 1 |  |  |  |  |  |  |
| SE30 | Entity Name and Type | M | 1 |  |  |  |  |  |  |  |
| SE90 | Error Record | C | 9 |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | Entity Street Address Error Grouping | C |  | 3 |  |  |  |  |  |  |
| SE35 | Entity Street Address | M | 1 |  |  |  |  |  |  |  |
| SE90 | Error Record | C | 9 |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | Entity City and Country Error Grouping | C |  | 1 |  |  |  |  |  |  |
| SE36 | Entity City and Country | M | 1 |  |  |  |  |  |  |  |
| SE90 | Error Record | C | 9 |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  | s |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | Simplified Entry Line Grouping | C |  | 999 |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | Line Item Grouping | C |  | 1 |  |  |  |  |  |  |
| SE40 | Line Number | M | 1 |  |  |  |  |  |  |  |
| SE90 | Error Record | C | 9 |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | Line Level Entity Grouping | C |  | 99 |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | Entity Name and Type Error Grouping | C |  | 1 |  |  |  |  |  |  |
| SE50 | Entity Name and Type | M | 1 |  |  |  |  |  |  |  |
| SE90 | Error Record | C | 9 |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | Entity Street Address Error Grouping | C |  | 3 |  |  |  |  |  |  |
| SE55 | Entity Street Address | M | 1 |  |  |  |  |  |  |  |
| SE90 | Error Record | C | 9 |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | Entity City and Country Error Grouping | C |  | 1 |  |  |  |  |  |  |
| SE56 | Entity City and Country | M | 1 |  |  |  |  |  |  |  |
| SE90 | Error Record | C | 9 |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  | s |  |  |
|  | Harmonized Tariff Schedule Grouping | C |  | 8 |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | Harmonized Tariff Schedule Error Grouping | C |  | 1 |  |  |  |  |  |  |
| SE60 | Harmonized Tariff Schedule | M | 1 |  |  |  |  |  |  |  |
| SE90 | Error Record | C | 9 |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | PGA Grouping | C |  | 999 |  |  |  |  |  |  |
| OI |  | M | 1 |  |  |  |  |  |  |  |
| PG01 |  | M | 1 |  |  |  |  |  |  |  |
| … |  |  |  |  |  |  |  |  |  |  |
| PG35 |  | C | 1 |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
| SE90 | Transaction Disposition Record | M | 1 |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | Transaction Control Trailers (Y,Z) | M |  |  |  |  |  |  |  |  |

Designation: M = Reporting Mandatory; C = Reporting Conditional; O = Reporting Optional

#### Unified Entry/ISF Filing

An ISF-10 Importer Security Filing (ISF) can be included with an Entry submission by inserting the appropriate SF records immediately following the last Entry data record; i.e. the last SE60 record or the last Participating Government Agency (PG) record that is associated with the last SE60 record.

Importer Security Filing ISF-10 reporting information:

1. Manufacturer Name and Address

2. Seller Name and Address

3. Buyer Name and Address

4. Ship To Name and Address

5. Scheduled Container Stuffing Location

6. Consolidator Name and Address

7. Importer of Record**\***

8. Consignee Number

The following are required ISF data elements but are not reported in the SF10 to SF36 records because they will be extracted from the required Entry data for all entry types.

9. Country of Origin

10. Harmonized Tariff Schedule

In a Unified Entry/ISF filing, the Importer of Record for entry purposes (reported in the SE10 Record) and the ISF Importer (reported in the SF10 Record) must be the same entity, with the same Importer of Record Number.

**\***As part of the Unified Entry/ISF transaction processing, the CBP system will create an SF30 record with the business entity type IM (Importer of Record). The data for this record will be derived from the ISF Importer listed in the SF10 record.

The Consignee Number must be reported using an identifier in an Importer of Record number format.

To delete a previously accepted Importer Security Filing, only the SF10 record is required containing the ISF transaction number previously provided in the SN output transaction. The Mandatory/Conditional status indicated for all other records applies to “Add” or “Replace” actions only.

Response to an Importer Security Filing included with Entry data will be issued separately from the Entry Response. The output application for the ISF-10 filing is SN.

**General Rules**

Unused fields, which are defined as numeric, should not be zero-filled. These fields should be space filled or data errors may result.

*Data should not be placed in fields defined as filler. If data is present in filler fields, an error message may be system generated.*

When transmitting data, all letters must be upper case. While some applications may not return specific error messages when detecting lower‑case letters, CBP cannot guarantee the consistency of processing results for data transmitted in lower case.

**Data Elements**

The data element descriptors are defined as:

**Code Description**

**A** The data element is alphabetic only.

**AN** The data element is alphanumeric only.

**C** The data element is special characters.

**N** The data element is numeric only.

**X** The data element is alphanumeric or special characters.

All data elements are in fixed-length format. If all positions are not filled, the formatting rules are:

**Code Description**

**A** Left justify and Space fill.

**AN** Left justify and Space fill.

**C** Left justify and Space fill.

**N** Right justify and zero-fill.

**X** Left justify and Space fill.

***Note***: **If there is no data to insert in a field, Space fill.**

**IMPORTER SECURITY FILING (SF) INPUT RECORD USAGE MAP**

The following SF input records must be included within the B-Y block following the last input record of the SE (i.e. the last SE60 record or the last Participating Government Agency (PG) record that is associated with the last SE60 record).

| **Record ID** | **Name** | **Req. Des.** | **Max Use** | **Loop Repeat** | |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | ISF Header Grouping | M |  | 1 |  |  |
| **SF10** | Provides ISF header information | **M** | 1 |  |  |  |
|  |  |  |  |  |  |  |
| **SF20** | Provides reference data | **C** | 999 |  |  |  |
|  |  |  |  |  |  |  |
| **SF25** | Provides container information | **O** | 999 |  |  |  |
|  | Entity Grouping | M |  | 999 |  |  |
| **SF30** | Identifies the type of commercial entity whose identity is being reported | **M** | 1 |  |  |  |
| **SF31** | Provides a secondary name for the commercial entity reported in the associated SF30 record. | **O** | 1 |  |  |  |
| **SF35** | Provides the street number, street name and optional addressing information of the suite/floor number and building name | **C** | 3 |  |  |  |
| **SF36** | Provides geographical data pertaining to the city, state/province, postal and country | **C** | 1 |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |

Designation: M = Reporting Mandatory; C = Reporting Conditional; O = Reporting Optional

# Record Identifier SE10 (Input)

This is a mandatory input record that provides data pertaining to the update action code, entry filer code, entry number, entry type, importer of record number, mode of transportation, bond type code, estimated total entry value, planned port of entry, split shipment release code, and port of unloading.

| ***Record Identifier SE10 (Input)*** | | | | | |
| --- | --- | --- | --- | --- | --- |
| **Data Element** | **Length/Class** | **Position** | **Status** | **Description** | **Note** |
| Control Identifier | 4AN | 1-4 | M | Must always equal SE10 |  |
| Update Action Code | 1A | 5 | M | A code representing the update action. | 1 |
| Entry Filer Code | 3AN | 6‑8 | M | A unique code assigned by CBP to all active entry document preparers. The Entry Filer Code occupies the first three positions of an entry number regardless of where the entry is filed. This code must be the same as the Entry Filer Code in the block control header record (Record Identifier B). |  |
| Filler | 2X | 9-10 | M | Space fill. Reserved filler for possible future expansion of Entry Filer Code and/or Entry Number. |  |
| Entry Number | 8AN | 11‑18 | M | Unique identifying number assigned to the Entry by the Filer. For additional information on valid entry number formats, refer to Appendix E of this publication. |  |
| Filler | 1X | 19 | M | Space fill. |  |
| Entry Type | 2AN | 20‑21 | M | A code representing the entry type. Valid entry type codes are listed in Appendix B of the CATAIR publication. | 2, 9, 10 |
| Importer of Record Type | 3AN | 22-24 | M | The type of number being used to identify the importer of record. | 3 |
| Importer of Record | 12X | 25‑36 | M | Identification of the importer of record. | 4 |
| Mode of Transportation (MOT) Code | 2AN | 37‑38 | M | A code representing the mode of transportation. Valid mode of transportation codes are listed in Appendix B of this publication. | 5 |
| Bond Type Code | 1N | 39 | M | A code representing the bond type. | 6 |
| Estimated Entry Value | 10N | 40-49 | M | The total entered value of the entry in whole US dollars. |  |
| Planned Port of Entry | 5AN | 50-54 | C | Planned / scheduled port of entry in Schedule D code. Required if PGA data is reported in the filing. | 7 |
| Split Shipment Release Code | 1AN | 55 | O | A code representing an option for releasing split shipments. | 8 |
| Port of Unlading | 5AN | 56-60 | C | Planned / scheduled port of unlading in Schedule D code. Required if PGA data is reported in the filing. |  |
| Filler | 20X | 61‑80 | M | Space fill. |  |

##### Note 1

Valid Update Action Codes are:

**Code** **Description**

**A** Add

**D** Delete

**R** Replace

**U** Update

If the update action code is D, and no bill match has occurred, the only record that is required is the SE10. The entry record will be deleted and the entry number may be reused.

If the update action code is D, and a bill match has occurred, the transaction is processed as a cancellation request that requires approval by CBP. For a cancellation request, the SE10 and SE13 records are mandatory, and the SE20 record is conditional.  After a Cancellation Request has been accepted by CBP, the entry remains on file with a status of Cancelled. The entry number associated with the Cancelled entry cannot be reused.

A submission with an update action of R will not be accepted if the bill of lading has arrived and the entry has been issued a release.

If the update action code is **U**, the only records that can be reported are SE10, SE15, SE16, and SE20. The U update action code is used to replace the Bill of Lading information and to report specific transportation information.

##### Note 2

Only the below Entry Type codes are valid for use at this time:

##### Code Description

1. Consumption – Free and Dutiable
2. Consumption ‑ Quota/Visa \*\*
3. Consumption ‑ Antidumping/ Countervailing Duty

**06** Consumption ‑ Foreign Trade Zone (FTZ)\*\*

**07** Consumption ‑ Antidumping/ Countervailing Duty & Quota/Visa Combination\*\*

**11** Informal – Free and Dutiable

**12** Informal ‑ Quota/Visa (other than textiles)\*\*

**21** Warehouse\*\*

**22** Re-Warehouse\*\*

**23** Temporary Importation Bond (TIB)\*\*

52 Government Dutiable\*\*

\*\*(For Future Use)

##### Note 3

The qualifiers for Importer of Record Type (left justified) are:

**Code** **Description**

**EI** Employer Identification Number (IRS #)

**ANI** CBP-assigned Number

**34** Social Security Number

##### Note 4

Valid formats for the Importer of Record Number are:

**NN‑NNNNNNNXX** Internal Revenue Service (IRS) Number

**YYDDPP‑NNNNN** CBP Assigned Number

**NNN‑NN‑NNNN** Social Security Number

In these codes, *N* = number, *X* = alphanumeric, *YY* = the last two digits of the calendar year when the number is assigned, *DDPP* = the district/port code where the number is assigned.

##### Note 5

Valid Mode of Transportation Codes are:

**Code** **Description**

**10** Vessel, Non-container

**11** Vessel, Container

**12** Border Water‑borne (only Mexico and Canada)

**20** Rail, Non-container

**21** Rail, Container

**30** Truck, Non-container

**31** Truck, Container

**32** Auto\*

**33** Pedestrian\*

**34** Road, other. Includes foot and animal-borne.\*

**40** Air, Non-container

**41** Air, Container

**50** Mail**\***

**60** Passenger, Hand Carried**\***

**70** Fixed Transport Installations. Includes pipeline and powerhouse.\*

\*(For Future Use)

##### Note 6

Valid Bond Type Codes are:

**Code** **Description**

0 No bond required *(future use)*

8 Continuous bond

##### 9 Single transaction bond

##### If bond type is 9, it is mandatory to report the following Reference Identifier Codes in SE20 records:

**V1** Surety Code

**AMT** Bond amount

##### Note 7

The Planned Port of Entry must be provided if any of the following conditions apply:

* an In-Bond number is reported in the entry filing
* PGA data is included in the entry filing

##### Note 8

Valid Split Shipment Release Codes are:

**Code** **Description**

1 Hold All - Release Entry when all cargo qualifies for release.

2 Request Special Permit to release cargo incrementally under Immediate Delivery procedures. Entry date will be set to when the first portion of the split shipment is released.

##### Note 9 (For Future Use)

When the Entry type is 21 or 22, the FIRMS Code of the CBP Bonded Warehouse that the Importer is applying to enter the cargo intomust be reported in the SE11 Record, Position 65-68. The FIRMS Code must be a facility type 04 (Bonded Warehouse), be located *in* the Port of Entry of the Cargo Release transaction, and must be in an Active status in the CBP system.

##### Note 10 (For Future Use)

When the Entry type is 22, the originating warehouse entry number that the merchandise is coming from must be reported in the SE11 Record, Position 69-79.

Record Identifier SE11 (Input)

This is a conditional input record that provides additional data according to the specific entry needs.

| ***Record Identifier SE11 (Input)*** | | | | | |
| --- | --- | --- | --- | --- | --- |
| **Data Element** | **Length/Class** | **Position** | **Status** | **Description** | **Note** |
| Control Identifier | 4AN | 1-4 | M | Must always equal SE11. |  |
| Entry Date Election Code | 1X | 5 | C | A code representing the Entry Date Election Code for entry type 06. Valid Entry Date Election’s Codes are:  P = Date of Presentation  A = Date of Arrival  W = Date of the first day of the seven day period for Weekly Estimate filing. |  |
| Elected Entry Date | 6N | 6-11 | C | A numeric date in MMDDYY (month, day, year) format representing the elected entry date.  This field is mandatory for entry type 06. | 1,5 |
| Location of Goods (FIRMS) | 4AN | 12-15 | C | FIRMS code of the location where the cargo is currently stored. | 4 |
| Elected Exam Site (FIRMS) | 4AN | 16-19 | O | Filer’s preferred CES location if cargo needs to be examined. |  |
| Conveyance Name | 20X | 20-39 | C | Name of the conveyance. |  |
| Voyage/Flight/Trip Manifest Number | 5X | 40-44 | C | The voyage/flight/trip number of the importing carrier. |  |
| General Order (G.O.) Number | 20AN | 45-64 | O | The G.O. Number if the cargo has been placed in General Order. |  |
| CBP Bonded Warehouse (FIRMS) | 4AN | 65-68 | C | FIRMS code of the CBP Bonded Warehouse where cargo is to be entered. (Entry Types 21 and 22 only) | 2 |
| Originating Warehouse Entry Filer Code | 3AN | 69-71 | C | Filer Code of the originating warehouse entry that the merchandise is coming from. The Originating entry listed must be entry type 21 or 22 only.  The Entry Filer Code occupies the first three positions of an entry number. | 3 |
| Originating Warehouse Entry Number | 8AN | 72-79 | C | Originating warehouse entry number that the merchandise is coming from. The Originating entry listed must be entry type 21 or 22 only.  Unique identifying number assigned to the Entry by the Filer. For additional information on valid entry number formats, refer to Appendix B of the CATAIR publication. | 3 |
| Immediate Delivery Indicator | 1X | 80 | C | Enter ‘Y’ if requesting to use Immediate Delivery Procedures.  This field is not related to special procedures for Split Shipments. Do not use this field if the sole purpose is to take advantage of the special split shipment procedures.  If this field is not applicable, Space fill. | 5 |

##### Note 1

An entry date must be provided if ‘W’ is selected for Entry Date Election Code.

##### Note    2

Mandatory when the Entry type is 21 or 22.  This identifies the FIRMS Code of the CBP Bonded Warehouse that the Importer is applying to enter the cargo into.  The FIRMS Code must be a facility type 04 (Bonded Warehouse), be located *in* the Port of Entry of the Cargo Release transaction, and must be in an Active status in the CBP system.

##### Note    3

Mandatory when the Entry type is 22.  This identifies the originating warehouse entry number that the merchandise is coming from.  The Import Date on the *original* warehouse entry (type 21) [which introduced the merchandise into a customs bonded warehouse] cannot be greater than 5 years ago.

##### Note    4

When the Entry type is 06, the FIRMS code of the FTZ site must be entered. If the local Port Director, or his designee, has approved the use of a single FIRMS code for filing electronic FTZ Admissions (aka e214) for a subzone with multiple authorized sites (each with a unique assigned FIRMS code) which are all covered under a single activity type FTZ bond and under a single inventory control and record keeping system, this single FIRMS code *may* also be declared as the Locations of Goods in the SE11 record.

##### Note 5

An Elected Entry Date must be provided if ‘Y’ is entered for Immediate Delivery Indicator.

Record Identifier SE13 (Input)

This is a conditional input record that provides data pertaining to correction and cancellation requests.

| ***Record Identifier SE13 (Input)*** | | | | | |
| --- | --- | --- | --- | --- | --- |
| **Data Element** | **Length/Class** | **Position** | **Status** | **Description** | **Note** |
| Control Identifier | 4AN | 1-4 | M | Must always equal SE13. |  |
| Contact Name | 40AN | 5-44 | M | Contact Name for the Correction / Cancellation request |  |
| Contact Phone | 15AN | 45-59 | M | Phone Number for the Correction / Cancellation request |  |
| Reason Code | 2A | 60-61 | C | Reason code for Cancellation request.  Space fill for Correction requests. | 1 |
| Multiple Cargo Dispositions Indicator | 1N | 62 | O | Use 1 to indicate that multiple cargo dispositions exist in addition to the reason code provided for the entry in the cancellation request.  Space fill for Correction requests. |  |
| DIS indicator | 1N | 63 | O | Use 1 to indicate a Document Image System (DIS) submission has been made in support of the correction or cancellation request | 2 |
| Split Shipment indicator | 1N | 64 | O | Use 1 to indicate that the SE is associated with a split shipment | 3 |
| Filler | 16X | 65-80 | M | Space fill. |  |

##### Note 1

Valid **Cancellation** request reason codes are:

**Code** **Description**

1. Clerical error
2. Entry replaced by CBPF 7512 (replacement in-bond number required)
3. Merchandise cleared under another entry (replacement entry number required)
4. Entry replaced by FTZ Admission (replacement FTZ Admission Number required)
5. Merchandise cleared under informal entry
6. Merchandise seized
7. Merchandise destroyed
8. Non-arrival
9. Shipment refused by importer
10. Shipment not authorized for import
11. System error

For reason code 02, an In-bond Number that is on file with CBP must be provided in the SE20 record; for reason code 03, the replacement Entry Number that is on file with CBP must be provided in the SE20 record; for reason code 04, the replacement FTZ Admission Number that is on file with CBP must be provided in the SE20 record.

##### Note 2

The use of the DIS indicator denotes to CBP that the filer has submitted an electronic file or files in support of the correction or cancellation request. When the DIS indicator is reported in the SE13 record, the SE20 record is required with the Reference Identifier Qualifier code “DIS” (Filer-Defined DIS Reference Number). This is a filer-assigned reference number to identify the DIS submission. This reference number should be unique for the associated entry number and should not be repeated for other DIS submissions for the same entry number, including for the entry summary and other post summary submissions. .

The DIS submission related to the correction / cancellation request:

* should be transmitted *in advance of* the submission of the correction / cancellation request
* should include the Filer-assigned [DIS] Reference number which links it to the specific correction / cancellation request.

##### For instructions on submitting electronic files to CBP via DIS, see the DIS Implementation Guide of the ACE ABI CATAIR.

##### See Appendix A to this document for instructions and format for submitting documentation electronically to CBP via e-mail for inclusion in the DIS repository. \*\*Please note that the e-mail alternative for submitting electronic documentation is meant only as an interim solution. CBP intends to sunset this e-mail alternative in lieu of EDI DIS at an as yet undetermined future date. All filers are encouraged to develop and make use of EDI DIS capabilities in conjunction with the ACE Cargo Release (SE) transaction.

##### Note 3

Use 1 to indicate that the SE is associated with a split shipment, which is a factor in adjudicating the Cancellation Request.

Record Identifier SE15 (Input)

This is a conditional input record that provides data pertaining to the issuer of a bill of lading number, bill of lading quantity to be entered, and unit of measure relating to the bill of lading quantity. Additional SE15 Records are used to report multiple bill of lading/air waybill data.

| ***Record Identifier SE15 (Input)*** | | | | | |
| --- | --- | --- | --- | --- | --- |
| **Data Element** | **Length/Class** | **Position** | **Status** | **Description** | **Note** |
| Control Identifier | 4AN | 1-4 | M | Must always equal SE15. |  |
| Bill Type Indicator | 1A | 5 | M | Code identifying the type of Bill of Lading Number. Valid codes are:  R = Regular / Simple Bill of Lading;  Shipment Control Number (Mode  Truck). Use ‘R’ for Mode Pipeline.  M = Master Bill of Lading;  Shipment Control Number (Mode  Truck) H = House Bill of Lading;  Bill Control Number (Mode Truck)  S = Sub-House Bill of Lading  *(future use)*  T = Express Carrier Tracking Number  *(air only)*  I = In-bond number  See Note 3 regarding entry type 22. | 3 |
| Issuer Code of Bill of Lading Number | 4AN | 6-9 | C | A code representing the issuer of the bill of lading. Space fill for Air shipments and In-bond number.  Use of “UNKN” as a carrier code is not permitted. |  |
| Bill of Lading Number | 50X | 10‑59 | M | The bill of lading number as listed on the manifest; or, In-Bond number. If the number is less than 50 positions, it is left justified. Do not include spaces, hyphens, slashes or other special characters. Include the AWB prefix for Air shipments for Master / Simple Bill of Lading and Tracking Numbers.  See Note 3 regarding entry type 22. | 1,3,4 |
| Quantity | 8N | 60‑67 | C | Enter the quantity associated with the bill of lading number being reported. It is the smallest exterior packaging unit.  See Note 3 regarding entry type 22. | 2,3 |
| Unit of Measure | 5X | 68‑72 | O | The unit of measure corresponding to the bill of lading quantity. |  |
| Non-AMS indicator | 1X | 73 | M | Default value is ‘N’.  Enter ‘Y’ to represent that the bill of lading will not be manifested via EDI with a CBP automated manifest system.  See Note 4 below for specific instances when a ‘Y’ must be declared.  When ‘Y’ is declared in this field, use of the SE16 record is mandatory. | 5, 6 |
| Filler | 7X | 74‑80 | M | Space fill. |  |

##### Note 1

To report Bill of Lading Numbers for Air shipments:

# 

# Master Bill of Lading / House Bill of Lading – Use an SE15 record with Bill Type Indicator ‘M’ to report the Master Bill of Lading Number (including the AWB prefix) followed by another SE15 with Bill Type Indicator ‘H’ and the House Bill of Lading Number.

# Simple Bill of Lading – Use a single SE15 record with Bill Type Indicator ‘R’ to report the Simple Bill of Lading Number (including the AWB prefix).

# Express Carrier Tracking Number – Use a single SE15 record with Bill Type Indicator ‘T’ to report the Tracking Number (including the AWB prefix).

# In-bond Number – Use an SE15 record with Bill Type Indicator ‘I’ to report the In-bond Number. This record should:

# not contain a Quantity, as it is only reported at the Bill Level; and,

# be followed by another SE15 with Simple Bill of Lading; or,

# be followed by pair of SE15 records: the first SE15 record with Bill Type Indicator ‘M’ and the Master Bill of Lading Number (including the AWB prefix), and second SE15 record with Bill Type Indicator ‘H’ and the House Bill of Lading Number.

# Reminder: Bill quantity is entered at the most detailed (i.e. lowest) level of the bill.

To report Bill of Lading Numbers for Ocean/Rail shipments:

# Master Bill of Lading / House Bill of Lading – Use an SE15 record with Bill Type Indicator ‘M’ and the Master Bill of Lading Number followed by another SE15 with Bill Type Indicator ‘H’ and the House Bill of Lading Number.

# Simple Bill of Lading – Use a single SE15 record with Bill Type Indicator ‘R’ and the Simple Bill of Lading Number.

# In-bond Number – Use an SE15 record with Bill Type Indicator ‘I’ to report the In-bond Number. This record should:

# not contain a Quantity, as it is only reported at the Bill Level; and,

# be followed by another SE15 with Simple Bill of Lading; or,

# be followed by pair of SE15 records: the first SE15 record with Bill Type Indicator ‘M’ and the Master Bill of Lading Number, and second SE15 record with Bill Type Indicator ‘H’ and the House Bill of Lading Number.

# Reminder: Bill quantity is entered at the most detailed level of the bill.

To report Bill of Lading Numbers for Truck shipments:

# Master Bill of Lading (aka Shipment Control Number) / House Bill of Lading (aka Bill Control Number) – Use an SE15 record with Bill Type Indicator ‘M’ and the Master Bill of Lading Number followed by another SE15 with Bill Type Indicator ‘H’ and the House Bill of Lading Number.

# Simple Bill of Lading (aka Shipment Control Number) – Use a single SE15 record with Bill Type Indicator ‘R’ and the Simple Bill of Lading Number.

# In-bond Number – Use an SE15 record with Bill Type Indicator ‘I’ to report the In-bond Number. This record should:

# not contain a Quantity, as it is only reported at the Bill Level; and,

# be followed by another SE15 with Simple Bill of Lading; or,

# be followed by pair of SE15 records: the first SE15 record with Bill Type Indicator ‘M’ and the Master Bill of Lading Number and second SE15 record with Bill Type Indicator ‘H’ and the House Bill of Lading Number.

# Reminder: Bill quantity is entered at the most detailed level of the bill.

Valid formats for In-bond Number are:

**NNNNNNNNN** CBP assigned IT number

**NNNNNNNNNNN** Air Waybill number \*(leading NNN = airline prefix code)

**XXXNNNNNNNN** “Paperless” Master In-Bond format

##### Note 2

If a bill of lading Quantity is reported, it will be used as the entered and released quantity for the bill. If it is not reported, the full manifested bill quantity will be used as the entered and released quantity. In the case of a split shipment, release will be issued to the carrier under either the Hold All or Incremental release under Immediate Delivery procedures.

In the case of where the entered quantity will be less than the full manifest quantity, the bill of lading Quantity is a required data element

Do not provide quantity on SE15 record if SE16 record is present.

##### Note 3 \*(For Future Use)

No Bill of Lading is reported for entry type 22, Re-Warehouse.

If the originating Warehouse entry (type 21) or Re-Warehouse entry is in another port of entry so the merchandise is transported under an In-Bond, then only the In-Bond Number is reported:

# Use an SE15 record with Bill Type Indicator ‘I’ to report the In-bond Number. This SE15 record should contain a Quantity.

##### Note 4

If the mode of transportation code in the SE10 record is Pipeline (70), the pipeline carrier’s SCAC code should be entered here.

If the mode of transportation code in the SE10 record is Pipeline (70), the Batch Number should be entered as the bill of lading.

If this is an In-Bond movement originating from one of the following, the originating bonded facility’s FIRMS Code may have been set up as a Carrier Code in the CBP system and may have been used on the In-Bond as the SCAC of the ‘non-import-manifest’ bill of lading. This is acceptable:

General Order Warehouse

Customs Bonded Warehouse

Foreign Trade Zone

Seizure

##### Note 5

Non-AMS Indicator – This is a mandatory field. The default value is ‘N’, meaning the bill of lading in the SE15 record is, yes, expected to be manifested electronically with CBP and the bill is expected to be matched to the tables in CBP’s automated manifest systems.

Enter ‘Y’ to represent that the bill of lading will not be manifested electronically with CBP.

In all instances, if the Bill Type Indicator in the SE15 is “I”, set this field to ’N’.

If the Entry Type Code in the SE10 record is 06 FTZ this field must be set to ‘Y’.

If the Entry Type Code in the SE10 record is 22 Re-Warehouse, and the Bill Type Indicator in the SE15 is “I”, set this field to ’N’.

If the Mode of Transportation Code in the SE10 record is any of those listed below, this field must be set to ‘Y’:

**32** Auto

**33** Pedestrian

**34** Road, other. Includes foot and animal-borne.

**50** Mail

**60** Passenger, Hand Carried

**70** Fixed Transport Installations. Includes pipeline and powerhouse.

If the General Order Number field in the SE11 record is not {null} this field must be set to ‘Y’.

If this is a shipment originating from a seizure (CBP custody), this field must be set to ‘Y’.

**Note 6**

When ‘Y’ is declared in this field, use of the SE16 record is mandatory.

Record Identifier SE16 (Input)

This is a conditional input record that provides data pertaining to the conveyance associated with the preceding bill of lading number. This is used when filing entry for less than the full manifested quantity for a bill of lading when the bill is a split shipment to indicate the quantity to be entered for each split part.

| ***Record Identifier SE16 (Input)*** | | | | | |
| --- | --- | --- | --- | --- | --- |
| **Data Element** | **Length/Class** | **Position** | **Status** | **Description** | **Note** |
| Control Identifier | 4AN | 1-4 | M | Must always equal SE16. |  |
| Carrier Code | 4AN | 5-8 | M | A code identifying the carrier. |  |
| Voyage/Flight/Trip Manifest Number | 5X | 9-13 | M | The voyage/flight/trip number of the importing carrier. |  |
| Date of Arrival | 6N | 14‑19 | M | A numeric date in MMDDYY (month, day, year) format representing the date of arrival. |  |
| Quantity | 8N | 20‑27 | M | The entered quantity associated with the bill of lading number being reported in the related SE15 record. It is the smallest exterior packaging unit. | 1 |
| Conveyance Name | 20X | 28-47 | C | Name of the conveyance. | 2,3 |
| Filler | 33X | 48‑80 | M | Space fill. |  |

##### Note 1

This is used to indicate the quantity to be entered for each split part.

##### Note    2

When the mode of transportation code in the SE10 record is Pipeline (70) enter the name of the pipeline as the conveyance name.

##### Note    3

When the Entry type is 06, enter the FTZ project number [3 digits]; Subzone number or General Purpose Zone Acreage Site number) [3 characters]; Subzone Site number [3 digits] (as applicable), in one of the following format(s), including any needed leading zero’s:

**FTZ**026**00A**001 FTZ 26, Subzone A, Subzone Site 01

**FTZ**026**010** FTZ 26, General Purpose Zone Acreage Site 10

Record Identifier SE17 (Input)

This is an optional input record that provides data pertaining to the container or equipment associated with the preceding bill of lading number which holds the merchandise for which entry is being made.

| ***Record Identifier SE17 (Input)*** | | | | | |
| --- | --- | --- | --- | --- | --- |
| **Data Element** | **Length/Class** | **Position** | **Status** | **Description** | **Note** |
| Control Identifier | 4AN | 1-4 | M | Must always equal SE17. |  |
| Equipment Number | 20AN | 5-24 | M | Equipment unit's full number including: the SCAC prefix, the sequencing or serial part of an equipment unit's identifying number, and the check digit |  |
| Filler | 56X | 25‑80 | M | Space fill. |  |

# Record Identifier SE20 (Input)

This is a conditional input record that provides reference data.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ***Record Identifier SE20 (Input)*** | | | | | |
| **Data Element** | **Length/Class** | **Position** | **Status** | **Description** | Note |
| Control Identifier | 4AN | 1-4 | M | Must always equal SE20. |  |
| Reference Identifier Qualifier | 3AN | 5-7 | M | Code that defines the Reference Identifier. | 1, 2 |
| Reference Identifier | 50X | 8-57 | M | Reference data. | 1, 2 |
| Filler | 23X | 58-80 | M | Space fill |  |

##### Note 1

Valid Reference Identifier Qualifier codes are:

**Code** **Description**

**CR** Filer-defined Reference Number

**EN** Replacement Entry Number

**IB** Replacement In-bond Number

**FTZ** Replacement FTZ Admission Number

**DIS** Filer-defined DIS Reference Number

**V1** Surety Code

**AMT** Bond amount

**EXP** Express Consignment Shipment

**KII**\*    Known Importer Indicator **\*(see note below)**

**CR** is an optional Filer-defined field that will be returned in the response messages.

**EN/IB/FTZ** is the reference data provided by the filer in relation to the reason for the cancellation request selected in SE13.

When **EN** is reported as the Reference Identifier Qualifier the replacement entry number is to be reported in the following format: **FFFNNNNNNNN** (FFF represents the Filer Code)

For Bond Type ‘9’, Surety Code (**V1**) and Bond amount (**AMT**) must be provided.

When the Reference Identifier Qualifier is **EXP**, the Reference Identifier must always be a “Y” to indicate that this entry filing is for an express consignment shipment. This is to be used only in cases where the entry processing is to take place in an established express consignment CBP sub-port of the locale’s standard Port of Entry.

When the Reference Identifier Qualifier is **EXP** or **KII\*,** the Reference Identifier must be either “Y” or “N”.

\*(As of January 30, 2015, CBP policy on usage of KII is pending.)

##### Note 2

When the DIS indicator is reported in the SE13 record the Reference Identifier Qualifier code “DIS” (Filer-defined DIS Reference Number) is required. This is a filer-assigned reference number to identify the DIS submission. This reference number should be unique for the associated entry number and should not be repeated for other DIS submissions for the same entry number, including for the entry summary and other post summary submissions.

The DIS submission related to the correction / cancellation request:

* should be transmitted *in advance of* the submission of the correction / cancellation request; and,
* should include the Filer-defined [DIS] Reference number which links it to the specific correction / cancellation request.

# Record Identifier SE30 (Input)

This is a conditional header-level record that identifies the type of commercial entity whose identity is being reported.

When reporting a commercial entity, the SE30, SE35 and SE36 records must be used if the Entity Code in the SE30 record is equal to MF (Manufacturer), SE (Seller), CS (Consolidator), or LG (Stuffing Location). The SE30 record identifies the entity being reported. The SE35 and SE36 records provide parsed fields for address and geographical location reporting to accommodate the various conventions found in the formats of non US addresses.

If the SE30 record contains the Entity Code CN (Consignee), then only the SE30 record is used to report the commercial entity, while records SE35 and SE36 are not used.

If the SE30 record contains the Entity Code BY (Buyer) or ST (Ship To Party), the filer has the option of transmitting the SE30 to SE36 record grouping, or transmitting only the SE30 record to report the commercial entity, while records SE35 and SE36 are not used. (See Notes 1 and 2.)

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ***Record Identifier SE30 (Input)*** | | | | | |
| **Data Element** | **Length/Class** | **Position** | **Status** | **Description** | **Note** |
| Control Identifier | 4AN | 1-4 | M | Must always equal SE30. |  |
| Entity Code | 3A | 5-7 | M | Code identifying the type of commercial entity being reported. | 1 |
| Entity Name | 35X | 8-42 | C | The name of the entity identified by the Entity Code. |  |
| Entity Identifier Qualifier | 3X | 43-45 | C | This field must be used if the entity identifier is being supplied in lieu of name and address. This field is mandatory if the entity code is CN. | 2 |
| Entity Identifier | 20X | 46-65 | C | The code identified by the entity identifier qualifier. This field is mandatory if the entity code is CN. | 2 |
| Filler | 15X | 66-80 | M | This field is to be space filled |  |

##### Note 1

Entity Codes:

**Code Description**

**MF** Manufacturer/Supplier

**SE** Selling Party

**BY** Buying Party

**ST** Ship To Party

**LG** Scheduled Container Stuffing Location

**CS** Consolidator

**CN** Consignee

**BKP** Booking Party

With the exception of Importer of Record which is reported in the SE10 record, all other required parties can be reported at the header or line level. The SE30, SE35, and SE36 records are used to report a party at the header level; the SE50, SE55, and SE56 records are used to report a party at the line level. Consignee (CN) and Manufacturer (MF) are required at the line level; however, if all line items have the same Consignee or Manufacturer, then that party may be reported at the header level only and will be deemed to be associated to all line items. The remaining parties are required at the header level, though may be reported at the line item if that allows for more accurate reporting.

Each Entity Code may be reported a maximum of one time at the header level.

Consignee is reported using an identifier in an importer of record number format. All the other parties are required to be reported using a name and address. Consignee is defined as the individual or firm in the United States on whose account the merchandise is shipped.

##### Note 2

Valid values for Entity Identifier Qualifier and Entity Identifier are:

**Qualifier Description and Valid Entity Identifier Format**

**EI** Employer Identification Number (IRS #)

Valid format is: **NN‑NNNNNNNXX**

This qualifier may only be used with Entity Codes **BY, ST,** or **CN**

**ANI** CBP-assigned Number

Valid format is: **YYDDPP‑NNNNN**

This qualifier may only be used with Entity Codes **BY, ST,** or **CN**

**34** Social Security Number

Valid format is: **NNN‑NN‑NNNN**

This qualifier may only be used with Entity Codes **BY, ST,** or **CN**

In these codes, *A* = alpha, *N* = number, *X* = alphanumeric or special characters, *YY* = the last two digits of the calendar year when the number is assigned, and *DDPP* = the district/port code where the number is assigned.

Use of the old CBP‑assigned number (NNNN‑NNNNN) expired July 1, 1992.

Use of CBP-created Encrypted Number [Format: ‑**CCCCCCCCCCC]** forConsignee is not accommodated for the SE transaction at this time.

# Record Identifier SE35 (Input)

*Entity Address Record*

This is a conditional header-level record used to transmit lines of the entity’s address data. Only either an Entity Name or an Entity Identifier can be provided for each SE30. If the SE30 record contains an Entity Name, then the SE35 and SE36 records must be used. If the SE30 record contains an Entity Identifier, then the SE35 and SE36 records are not used.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ***Record Identifier SE35 (Input)*** | | | | | |
| **Data Element** | **Length/Class** | **Position** | **Status** | **Description** | **Note** |
| Control Identifier | 4AN | 1-4 | M | Must always equal SE35. |  |
| Address Component Qualifier | 2AN | 5-6 | M | Address Component Qualifier describing the information in the Address Information data element | 1 |
| Address Information | 35X | 7-41 | M | Address Information corresponding to the Address Component Qualifier data element |  |
| Address Component Qualifier | 2AN | 42-43 | O | Address Component Qualifier describing the information in the Address Information data element | 1 |
| Address Information | 35X | 44-78 | O | Address Information corresponding to the Address Component Qualifier |  |
| Filler | 2X | 79-80 | M | Space fill |  |

##### Note 1

Valid Address Component Qualifiers:

**Code Description**

**01** Street Number

**02** Street Name

**05** P.O. Box Number

**12** Building Name

**13** Apartment Number

**14** Suite Number

**15** Unstructured Street Address

**28** Association Name

**30** Pier

**31** Wing

**32** Floor Number

**35** Room

**37** Unit

**57** Cross Street

**AK** Building Number

**Record Identifier SE36 (Input)**

*Entity Geographic Area Record*

This is a conditional header-level record that provides data pertaining to the city, country, geographical sub entity code and postal code associated with the address of the entity reported in the SE30 record. Only either an Entity Name or an Entity Identifier can be provided for each SE30. If the SE30 record contains an Entity Name, then the SE35 and SE36 records must be used. If the SE30 record contains an Entity Identifier, then the SE35 and SE36 records are not used.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ***Record Identifier SE36 (Input)*** | | | | | |
| **Data Element** | **Length/Class** | **Position** | **Status** | **Description** | **Note** |
| Control Identifier | 4AN | 1-4 | M | Must always equal SE36. |  |
| City Name | 35X | 5-39 | M | The city portion of the address where the entity is located. |  |
| Country Sub Entity Code | 3AN | 40-42 | C | ISO subdivision code. Space fill if not applicable.  A list of country codes and their subdivision codes may be found at:  <http://www.unece.org/cefact/locode/service/location.html> |  |
| Filler | 6X | 43-48 | M | Space fill |  |
| Postal Code | 15X | 49-63 | C | Postal code (i.e. ZIP code in USA). Space fill if no postal code is available. |  |
| Country Code | 2A | 64-65 | M | The International Standards Organization (ISO) country code representing the country portion of the address. A list of ISO country codes is found in Appendix B of this publication. |  |
| Filler | 15X | 66-80 | M | Space fill. |  |

**Record Identifier SE40 (Input)**

This is a conditional input record that provides data pertaining to the record control number and country of origin. This record occurs only once per SE Line Grouping.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ***Record Identifier SE40 (Input)*** | | | | | |
| **Data Element** | **Length/Class** | **Position** | **Status** | **Description** | **Note** |
| Control Identifier | 4AN | 1-4 | M | Must always equal SE40. |  |
| Line Item Identifier | 3N | 5‑7 | M | The line item identifier begins with *001* and is incremented by one each time record SE40 is repeated. |  |
| Country of Origin | 2A | 8‑9 | M | The International Organization for Standardization (ISO) country code representing the country of origin. Valid ISO codes are listed in Appendix B of this publication. |  |
| Filler | 1AN | 10 | M | Space fill. |  |
| Commercial Invoice Description | 70X | 11‑80 | O | A clear description of the commercial invoice line item in English. Broad, generalized language is unacceptable, as are tariff descriptions. Commercial description is not the same as the Harmonized Tariff description. Provide the description according to other agency instructions. |  |

# Record Identifier SE50 (Input)

*Entity Name and Type Record*

This is a conditional line-level record that identifies the type of commercial entity whose identity is being reported as associated with the preceding SE40 record

When reporting a commercial entity, the SE50, SE55 and SE56 records must be used if the Entity Code in the SE50 record is equal to code MF (Manufacturer), SE (Seller), BY (Buyer), ST (Ship To Party), CS (Consolidator), or LG (Stuffing Location). The SE50 record identifies the entity being reported. The SE55 and SE56 records provide parsed fields for address and geographical location reporting to accommodate the various conventions found in the formats of non US addresses.

If the SE50 record contains the Entity Code CN (Consignee), then only the SE50 record is used to report the commercial entity, while records SE55 and SE56 are not used.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ***Record Identifier SE50 (Input)*** | | | | | |
| **Data Element** | **Length/Class** | **Position** | **Status** | **Description** | **Note** |
| Control Identifier | 4AN | 1-4 | M | Must always equal SE50. |  |
| Entity Code | 3A | 5-7 | M | Code identifying the type of commercial entity being reported. | 1 |
| Entity Name | 35X | 8-42 | C | The name of the entity identified by the Entity Code. |  |
| Entity Identifier Qualifier | 3X | 43-45 | C | This field must be used if the entity identifier is being supplied in lieu of name and address. This field is mandatory if the entity code is CN. | 2 |
| Entity Identifier | 20X | 46-65 | C | The code identified by the entity identifier qualifier. This field is mandatory if the entity code is CN. | 2 |
| Filler | 15X | 66-80 | M | This field is to be space filled |  |

##### Note 1

Entity Codes:

**Code Description**

**MF** Manufacturer/Supplier

**SE** Selling Party

**BY** Buying Party

**ST** Ship To Party

**LG** Scheduled Container Stuffing Location

**CS** Consolidator

**CN** Consignee

With the exception of Importer of Record which is reported in the SE10 record, all other required parties can be reported at the header or line level. The SE30, SE35, and SE36 records are used to report a party at the header level; the SE50, SE55, and SE56 records are used to report a party at the line level. Consignee (CN) and Manufacturer (MF) are required at the line level; however, if all line items have the same Consignee or Manufacturer, then that party may be reported at the header level only and will be deemed to be associated to all line items. The remaining parties are required at the header level, though may be reported at the line item if that allows for more accurate reporting.

Each Entity Code may be reported a maximum of one time per Line Item (i.e. SE40 Record).

Consignee is reported using an identifier in an importer of record number format. All the other parties are required to be reported using a name and address. Consignee is defined as the individual or firm in the United States on whose account the merchandise is shipped.

##### Note 2

Valid values for Entity Identifier Qualifier and Entity Identifier are:

**Qualifier Description and Valid Entity Identifier Format**

**EI** Employer Identification Number (IRS #)

Valid format is: **NN‑NNNNNNNXX**

This qualifier may only be used with Entity Codes **BY, ST,** or **CN**

**ANI** CBP-assigned Number

Valid format is: **YYDDPP‑NNNNN**

This qualifier may only be used with Entity Codes **BY, ST,** or **CN**

**34** Social Security Number

Valid format is: **NNN‑NN‑NNNN**

This qualifier may only be used with Entity Codes **BY, ST,** or **CN**

In these codes, *A* = alpha, *N* = number, *X* = alphanumeric or special characters, *YY* = the last two digits of the calendar year when the number is assigned, and *DDPP* = the district/port code where the number is assigned.

Use of the old CBP‑assigned number (NNNN‑NNNNN) expired July 1, 1992.

Use of CBP-created Encrypted Number [Format: ‑**CCCCCCCCCCC]** forConsignee is not accommodated for the SE transaction at this time.

# Record Identifier SE55 (Input)

*Entity Address Record*

This is a conditional line-level record used to transmit lines of the entity’s address data. Only either an Entity Name or an Entity Identifier can be provided for each SE50. If the SE50 record contains an Entity Name, then the SE55 and SE56 records must be used. If the SE50 record contains an Entity Identifier, then the SE55 and SE56 records are not used.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ***Record Identifier SE55 (Input)*** | | | | | |
| **Data Element** | **Length/Class** | **Position** | **Status** | **Description** | **Note** |
| Control Identifier | 4AN | 1-4 | M | Must always equal SE55. |  |
| Address Component Qualifier | 2AN | 5-6 | M | Address Component Qualifier describing the information in the Address Information data element | 1 |
| Address Information | 35X | 7-41 | M | Address Information corresponding to the Address Component Qualifier data element |  |
| Address Component Qualifier | 2AN | 42-43 | O | Address Component Qualifier describing the information in the Address Information data element | 1 |
| Address Information | 35X | 44-78 | O | Address Information corresponding to the Address Component Qualifier |  |
| Filler | 2X | 79-80 | M | Space fill |  |

##### Note 1

Valid Address Component Qualifiers:

**Code Description**

**01** Street Number

**02** Street Name

**05** P.O. Box Number

**12** Building Name

**13** Apartment Number

**14** Suite Number

**15** Unstructured Street Address

**28** Association Name

**30** Pier

**31** Wing

**32** Floor Number

**35** Room

**37** Unit

**57** Cross Street

**AK** Building Number

**Record Identifier SE56 (Input)**

*Entity Geographic Area Record*

This is a conditional SE line-level record that provides data pertaining to the city, country, geographical sub entity code and postal code associated with the address of the entity reported in the SE50 record. Only either an Entity Name or an Entity Identifier can be provided for each SE50. If the SE50 record contains an Entity Name, then the SE55 and SE56 records must be used. If the SE50 record contains an Entity Identifier, then the SE55 and SE56 records are not used.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ***Record Identifier SE56 (Input)*** | | | | | |
| **Data Element** | **Length/Class** | **Position** | **Status** | **Description** | **Note** |
| Control Identifier | 4AN | 1-4 | M | Must always equal SE56. |  |
| City Name | 35X | 5-39 | M | The city portion of the address where the entity is located. |  |
| Country Sub Entity Code | 3AN | 40-42 | C | ISO subdivision code. Space fill if not applicable.  A list of country codes and their subdivision codes may be found at:  <http://www.unece.org/cefact/locode/service/location.html> |  |
| Filler | 6X | 43-48 | M | Space fill |  |
| Postal Code | 15X | 49-63 | C | Postal code (i.e. ZIP code in USA). Space fill if no postal code is available. |  |
| Country Code | 2A | 64-65 | M | The International Standards Organization (ISO) country code representing the country portion of the address. A list of ISO country codes is found in Appendix B of this publication. |  |
| Filler | 15X | 66-80 | M | Space fill. |  |

**Record Identifier SE60 (Input)**

This is a conditional input record that provides data pertaining to the preceding SE40 record. This record is repeated as often as necessary.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ***Record Identifier SE60 (Input)*** | | | | | |
| **Data Element** | **Length/Class** | **Position** | **Status** | **Description** | **Note** |
| Control Identifier | 4AN | 1-4 | M | Must always equal SE60. |  |
| HTS Number | 10AN | 5-14 | M | The appropriate duty/statistical reporting number under which the article is classified in the *Harmonized Tariff Schedule of the United States Annotated* (HTS). | 1 |
| Line Item Value | 10N | 15-24 | O | The line item value in whole US dollars. |  |
| Zone Status | 1A | 25 | C | Code indicating FTZ status. This field is required for entry type 06 (FTZ) entries.  Code P = Privileged Foreign  Code N = Non-privileged Foreign  Code D = Domestic  Code Z = Zone restricted | 2 |
| Privileged FTZ Merchandise Filing Date | 6N | 26-31 | C | For Privileged Foreign (i.e., that merchandise that has not been manipulated or manufactured so as to effect a change in tariff), a numeric date in MMDDYY (month, day, year) format representing the date the merchandise was granted Privileged Foreign Status.  Space fill if NOT Privileged Foreign. |  |
| Filler | 49X | 32‑80 | M | Space fill. |  |

##### Note 1

If the Entry type in the SE10 record is 23 TIB, a minimum of two SE60 records are required. These SE60 records *are submitted in pairs* as follows:

First SE60 record: The HTS Number field must contain a valid 9813 HTS number.

Second SE60 record: The HTS Number field must contain a valid HTS number which identifies the merchandise being imported.

##### Note 2

Zone Status – This field is mandatory for Entry type 06 FTZ.

**Record Identifier SF10 (Input)**

This is a mandatory header input record that provides information related to the submission/ shipment type, ISF Importer number, and bonding details.

| ***Record Identifier SF10 (Input)*** | | | | | |
| --- | --- | --- | --- | --- | --- |
| **Data Element** | **Length/Class** | **Position** | **Status** | **Description** | **Note** |
| Control Identifier | 4AN | 1-4 | M | Must always equal SF10. |  |
| ISF Submission Type | 1N | 5 | M | Always code 1 = Importer Security Filing 10 (ISF-10) submission | 1 |
| Shipment Type Code | 2N | 6-7 | M | Code identifying the type of shipment being submitted. | 2 |
| Action Code | 1A | 8 | M | A = Add, D = Delete, R = Replace | 3 |
| Action Reason Code | 2X | 9-10 | C | CT = Complete Transaction | 3 |
| ISF Importer Number Qualifier | 3X | 11-13 | M | Qualifier denoting the type of data provided in the ISF Importer Number field. | 4 |
| ISF Importer Number | 15X | 14-28 | M | ISF Importer Number | 4 |
| Reserved | 8X | 29-36 | M | Space fill. |  |
| Mode of Transportation Code | 2N | 37-38 | O | Ocean vessel non-containerized = ‘10’ (Break Bulk)  Ocean vessel containerized = ‘11’ |  |
| ISF Transaction Number | 15X | 39-53 | C | Unique transaction identifier assigned by CBP. CBP will assign a unique ISF Transaction Number (FFF-NNNNNNNNNNN) that will be returned in the SF10 record of the output response from CBP to the ISF filer in the SN transaction set. FFF=Filer code and NNNNNNNNNNN=numeric sequence number. This field needs to be space filled if the Action Code is Add. |  |
| SCAC Identifier | 4A | 54-57 | O | Standard Carrier Alpha Code. The SCAC of the vessel operator that is transporting the container. |  |
| Bond Holder | 15X | 58-72 | M | Space fill for Unified Entry/ISF filing | 5 |
| Bond Activity Code | 2AN | 73-74 | M | Space fill for Unified Entry/ISF filing | 5 |
| Bond Type | 1N | 75 | M | Space fill for Unified Entry/ISF filing | 5 |
| Filler | 3X | 76-78 | M | Space fill |  |
| Country of Issuance | 2A | 79-80 | M | Space fill for Unified Entry/ISF filing. |  |

###### Note 1

When one of the ISF-10 submission types is used, the SF data set must have an SF30 record for each of the following Entity Codes: MF, SE, BY, ST, CS, CN, IM\* and LG.

As part of the Unified Entry/ISF transaction processing, the CBP system will create an SF30 record with the business entity type IM, Importer of Record. The data for this record will be derived from the ISF Importer listed in the SF10 record.

In cases where ISF Submission Types ‘3’ or ‘4’ have been previously used and another replace transaction must be submitted to CBP, please continue to use these ISF Submission Types and use the stand-alone ISF transaction to update your filing. DO NOT use ‘1 or ‘2’ after you have modified an ISF using ‘3’ or ‘4’.

###### Note 2

Valid values for shipment type code are:

**Code** **Description**

**01** Standard or regular filings

**02** To Order Shipments

**04** Military, Government

**07** US Goods Returned

**09** International Mail Shipments

**10** Outer Continental Shelf Shipments

###### Note 3

For a “Delete” Action Code, only the SF10 record is required to be submitted with the ISF transaction number that was previously provided in the SN output transaction.

For Action Reason Code, CT is mandatory when Action Reason Code is ‘A’ (Add) or ‘R’ (Replace):

**CT = Complete Transaction** – All data is present, no special flexibility rules apply. If “CT” is used, the ISF can be updated if necessary, but CBP does not require the ISF to be updated.

###### Note 4

In a Unified Entry/ISF filing, the Importer of Record for entry purposes (reported in the SE10 Record) and the ISF Importer (reported in the SF10 Record) must be the same entity, with the same Importer of Record Number.

The qualifiers for data element ISF Importer Number Qualifier (left justified) are:

EI Employer Identification Number (IRS #)

ANI CBP-assigned Number

34 Social Security Number

Valid formats for the ISF Importer Number are:

**NN‑NNNNNNNXX** Internal Revenue Service (IRS) Number

**YYDDPP‑NNNNN** CBP Assigned Number

**NNN‑NN‑NNNN** Social Security Number

In these codes, *N* = number, *X* = alphanumeric, *YY* = the last two digits of the calendar year when the number is assigned, *DDPP* = the district/port code where the number is assigned.

If the ISF Importer Number is in the Employer Identification Number format, and the last two positions are blank, the system may convert the blanks to zeroes. Importer Security Filing output data may show the last two positions of the ISF Importer Number as zeroes when two blanks were input.

**Note 5**

A Bond Type 9 may only be used with a Bond Activity Code 16. If this condition is present, an SF20 is mandatory for both the Surety Code (V1) and the Bond Reference Number (SBN). Note: the Bond Reference Number is NOT the bond number.

Bond information is mandatory for all Add or Replace transactions when the Shipment Type code = 01, 02, 07, or 10. Space fill for all other transactions.

If the ISF Importer is the same party as the Importer of Record, these fields must be space filled. The bond information submitted in the entry portion of the submission will also be used to satisfy the bond requirements for ISF.

Valid formats for the Bond Holder are:

**NN‑NNNNNNNXX** Internal Revenue Service (IRS) Number

**YYDDPP‑NNNNN** CBP Assigned Number

**NNN‑NN‑NNNN** Social Security Number

The valid Bond Activity Codes are:

**Code** **Description**

**01** Importer or Broker

**02** Custodian of Bonded Merchandise

**03** International Carrier

**04** Foreign Trade Zone Operator

**16** ISF Bond

The valid ISF Bond Types are:

**8** Continuous

**9** Single Transaction

Record Identifier SF20 (Input)

This is a conditional input record that provides reference data.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ***Record Identifier SF20 (Input)*** | | | | | |
| **Data Element** | **Length/Class** | **Position** | **Status** | **Description** | **Note** |
| Control Identifier | 4AN | 1-4 | M | Must always equal SF20. |  |
| Reference Identifier Qualifier | 3AN | 5-7 | M | Code that defines the Reference Data. | 1 |
| Reference Identifier | 50x | 8-57 | M | Reference data. Do not include spaces, hyphens, slashes or other special characters. |  |
| Filler | 23X | 58-80 | M | Space fill |  |

###### Note 1

Valid qualifier codes are:

**SBN** Bond Reference Number (Note: this is NOT the same as the bond number)

**V1** Surety Code

**CR** User-defined Reference Number

V1 and SBN are required if the Bond Activity code is ‘16’ and the Bond Type is ‘9’.

CR is an optional user-defined field that will be returned in both the SN and SA messages.

###### Record Identifier SF25 (Input)

This is an optional input record that is used to report information for each container.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ***Record Identifier SF25 (Input)*** | | | | | |
| **Data Element** | **Length/ Class** | **Position** | **Status** | **Description** | Note |
| Control Identifier | 4AN | 1-4 | M | Must always equal SF25. |  |
| Equipment Description Code | 2X | 5-6 | M | Code that describes the equipment. Valid codes are listed in Appendix B of this publication. |  |
| Equipment Initial | 4A | 7-10 | M | Alpha prefix preceding the equipment serial number |  |
| Equipment Number | 15N | 11-25 | M | Serial number of the equipment |  |
| Equipment Number Check Digit | 1N | 26 | C | Check digit of the equipment number. Not all intermodal equipment have a check digit but if the equipment has a check digit, it must be reported in this location. |  |
| Equipment Size Type Code | 4AN | 27-30 | O | Code identifying the type of equipment. Valid codes are listed in Appendix B of the ISF publication. |  |
| Filler | 50X | 31-80 | M | Space fill |  |

# Record Identifier SF30 (Input)

This is a mandatory record that identifies the type of commercial entity whose identity is being reported.

The SF data set must have an SF30 record for *each* of the following Entity Codes: MF, SE, BY, ST, CS, LG, CN, and IM**\***.

**\***As part of the Unified Entry/ISF processing, the CBP system will create an SF30 record with the entity type IM (Importer of Record). The data for this record will be derived from the ISF Importer listed in the SF10 record. There can only be a single Importer of Record reported for an ISF. An SF30 with Entity Code IM should *not* be included in the Unified Entry/ISF filing.

If the SF30 record contains the Entity Code CN (Consignee), then only the SF30 record is used to report the commercial entity, while records SF31, SF35, and SF36 are not used. Consignee is defined as the individual or firm in the United States on whose account the merchandise is shipped.

When reporting a commercial entity, the SF30, SF35 and SF36 records must be used if the Entity Code in the SF30 record is equal to code MF (Manufacturer), SE (Seller), BY (Buyer), ST (Ship To Party), CS (Consolidator), LG (Stuffing Location) or BKP (Booking Party). The SF30 record identifies the entity being reported. The SF35 and SF36 records provide parsed fields for address and geographical location reporting to accommodate the various conventions found in the formats of non US addresses. Alternatively, a FIRMS code may be used to report ST (Ship To Party). When a FIRMS Code is used to report the Ship To Party, only the SF30 record is required.

For each MF (Manufacturer) loop, the SF30, SF31 (Optional), SF35, and SF36 records must be followed by the SF40 record(s) containing the Harmonized Tariff Schedule and Country of Origin information relevant to that manufacturer.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ***Record Identifier SF30 (Input)*** | | | | | |
| **Data Element** | **Length/Class** | **Position** | **Status** | **Description** | **Note** |
| Control Identifier | 4AN | 1-4 | M | Must always equal SF30. |  |
| Entity Code | 3A | 5-7 | M | Code identifying the type of commercial entity being reported. | 1 |
| Entity Name | 35X | 8-42 | C | The name of the entity identified by the Entity Code. This field must be blank if an Entity Identifier is used. |  |
| Entity Identifier Qualifier | 3X | 43-45 | C | This field must be used if the entity identifier is being supplied in lieu of name and address. This field is mandatory if the entity identifier is CN. | 2 |
| Entity Identifier | 20X | 46-65 | C | The code identified by the entity identifier qualifier. This field is mandatory if the entity identifier is CN. | 2 |
| Country Code | 2AN | 66-67 | M | Space fill for Unified Entry/ISF filing |  |
| Date of Birth (DOB) | 8X | 68-75 | M | Space fill for Unified Entry/ISF filing |  |
| Filler | 5X | 76-80 | M | Space fill. |  |

###### Note 1

Entity Codes:

**Code Description**

**MF** Manufacturer/Supplier

**SE** Selling Party

**BY** Buying Party

**ST** Ship To Party

**LG** Scheduled Container Stuffing Location

**CS** Consolidator

**BKP** Booking Party

**CN** Consignee

Consignee (CN) is reported using an identifier in an importer of record number format. All the other parties are required to be reported using a name and address. Consignee is defined as the individual or firm in the United States on whose account the merchandise is shipped.

###### Note 2

Valid values for Entity Identifier Qualifier are:

**EI** = Employer Identification Number (IRS #)

Valid format is: **NN‑NNNNNNNXX**

This qualifier may only be used with Entity Code **CN.**

**ANI** = CBP-assigned Number

Valid format is: **YYDDPP‑NNNNN**

This qualifier may only be used with Entity Code **CN.**

In these codes, *A* = alpha, *N* = number, *X* = alphanumeric, *YY* = the last two digits of the calendar year when the number is assigned, *DDPP* = the district/port code where the number is assigned and *C* = alphanumeric or special character.

Use of the old CBP‑assigned number (NNNN‑NNNNN) expired July 1, 1992.

**CIN** = CBP encrypted Consignee ID

Valid format is: ‑**CCCCCCCCCCC**

This qualifier may only be used with Entity Code **CN.**

**34\*** = Social Security Number

Valid format is: **NNN‑NN‑NNNN**

This qualifier may only be used with Entity Code **CN.**

**FR** = Facility Information Resource Management System (FIRMS) Code

Valid format is: **ANNN**

This qualifier may only be used with Entity Code **ST.**

If the SF30 record contains an Entity Name, then the SF35 and SF36 records must be used. If the SF30 record contains an Entity Identifier, then the SF31, SF35 and SF36 records are not used.

# Record Identifier SF31 (Input)

This is an optional record that provides a secondary name for the commercial entity reported in the previous SF30 record.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ***Record Identifier SF31 (Input)*** | | | | | |
| **Data Element** | **Length/Class** | **Position** | **Status** | **Description** | **Note** |
| Control Identifier | 4AN | 1-4 | M | Must always equal SF31. |  |
| Entity Code | 3A | 5-7 | M | Code identifying the type of secondary name. | 1 |
| Entity Name | 35X | 8-42 | M | The secondary name of the entity reported in the SF30 record. |  |
| Filler | 38X | 43-80 | M | Space fill |  |

###### Note 1

Valid Entity Codes are:

**Code Description**

**ALA** Alternative Addressee

**DH** Doing Business As

**DV** Division

**NU** Formerly Known As

**NV** Formerly Doing Business As

**XD** Alias (Other Names Used)

# Record Identifier SF35 (Input)

This is a conditional record used to transmit lines of the entity’s address data. Only either an Entity Name or an Entity Identifier can be provided for each SF30. If the SF30 record contains an Entity Name, then the SF35 and SF36 records must be used. If the SF30 record contains an Entity Identifier, then the SF31, SF35 and SF36 records are not used.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ***Record Identifier SF35 (Input)*** | | | | | |
| **Data Element** | **Length/Class** | **Position** | **Status** | **Description** | **Note** |
| Control Identifier | 4AN | 1-4 | M | Must always equal SF35. |  |
| Address Component Qualifier | 2AN | 5-6 | M | Address Component Qualifier describing the information in the Address Information data element | 1 |
| Address Information | 35AN | 7-41 | M | Address Information corresponding to the Address Component Qualifier data element |  |
| Address Component Qualifier | 2AN | 42-43 | O | Address Component Qualifier describing the information in the Address Information data element | 1 |
| Address Information | 35AN | 44-78 | O | Address Information corresponding to the Address Component Qualifier |  |
| Filler | 2X | 79-80 | M | Space fill |  |

###### Note 1

Valid Address Component Qualifiers:

**Code Description**

**01** Street Number

**02** Street Name

**05** P.O. Box Number

**12** Building Name

**13** Apartment Number

**14** Suite Number

**15** Unstructured Street Address

**28** Association Name

**30** Pier

**31** Wing

**32** Floor Number

**35** Room

**37** Unit

**57** Cross Street

**AK** Building Number

**Record Identifier SF36 (Input)**

This is a conditional record that provides data pertaining to the city, country, geographical sub entity code and postal code associated with the address of the entity reported in the SF30 record. Only either an Entity Name or an Entity Identifier can be provided for each SF30. If the SF30 record contains an Entity Name, then the SF35 and SF36 records must be used. If the SF30 record contains an Entity Identifier, then the SF31, SF35 and SF36 records are not used.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ***Record Identifier SF36 (Input)*** | | | | | |
| **Data Element** | **Length/Class** | **Position** | **Status** | **Description** | **Note** |
| Control Identifier | 4AN | 1-4 | M | Must always equal SF36. |  |
| City Name | 35AN | 5-39 | M | The city portion of the address where the entity is located. |  |
| Country Sub Entity Code | 3AN | 40-42 | C | ISO subdivision code - <http://www.unece.org/cefact/locode/service/sublocat.htm>.  Space fill if not applicable. |  |
| Filler | 6X | 43-48 | M | Space fill |  |
| Postal Code | 15AN | 49-63 | C | Postal code (i.e. ZIP code in USA). Space fill if no postal code is available. |  |
| Country Code | 2A | 64-65 | M | The International Standards Organization (ISO) country code representing the country portion of the address. A list of ISO country codes is found in Appendix B of this publication. |  |
| Filler | 15X | 66-80 | M | Space fill. |  |

**Record Identifier SE90 (Output)**

This is a mandatory output record that provides either an error or acceptance message.

***NOTE***: There are two types of SE90 records; a record-level SE90 and a message-level SE90. The record-level SE90 provides a description of the error related to a specific record/field. The message-level SE90 provides the status of the ACE Cargo Release entry filing.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ***Record Identifier SE90 (Output)*** | | | | | |
| **Data Element** | **Length/Class** | **Position** | **Status** | **Description** | **Note** |
| Control Identifier | 4AN | 1-4 | M | Must always equal SE90. |  |
| Message Type Code | 2AN | 5-6 | M | 01 = Message Rejected 02 = Message Accepted 03 = Message Accepted with Warning(s) 04 = Message Referred to Human Review  11 = Record Rejected 13 = Record Accepted with a Warning |  |
| Message Identifier Code | 3AN | 7-9 | C | A code that identifies the message. |  |
| Narrative Message Text | 40X | 10-49 | M | Narrative message text. |  |
| Filler | 31X | 50-80 | M | Space-fill |  |

# Appendix A

Instructions for Submission of Documents via E-mail to CBP Document Imaging System (DIS)

Filers should follow the below instructions when submitting documents to CBP in support of ACE Cargo Release (SE) transactions

* Send an email to [SimplifiedEntryDocs@cbp.dhs.gov](mailto:SimplifiedEntryDocs@cbp.dhs.gov) with the below details:
  1. Subject Line should have:

ENTRY\_NUMBER=12345678; FILER\_CODE=ABC;ACTION=ADD

* + 1. Note that “12345678” and “ABC” are only examples.
    2. Do not put ‘-‘ (dashes) in the entry number
    3. Do not affix the Filer code to the Entry Number. They should be separate as shown above.
    4. ACTION=DELETE may be used to indicate to CBP that the attached document was sent in Error
  1. There should one or more attachments (preferably pdfs) on the emails you submit.

(Please note that .TIF and .TIFF files should not be submitted as certain types of TIFFs cause issues in opening in a browser window.)

* + 1. The file names of the attachments should have the document-type as a prefix with a ‘dash’. For example: 02-WhateverYouLike.pdf

You can use any filename after the “02-” as per your company’s naming preference.

* + 1. The document-type prefix:

must be one of the codes specified in the DIS Implementation Guide chapter of the ACE CATAIR, if you are submitting the document in support of a cancellation request; Or,

must match the document-type code that was received by the SE filer in the CBP Document Required in the SO message.

* 1. In the email body use the below section to indicate any POC\_INFO and a COMMENT (Both items are optional).

    START\_DATA

    POC\_INFO=Stephanie Holloway 973-514-5535

    COMMENT=Any comment you might want CBP to see.

    END\_DATA

1. Upon submission you will receive an automated ‘submission status email’ from the CBPDocsRequired mailbox indicating a SUCCESS or FAILURE

(Please do not submit emails to the CBPDocsRequired mailbox)

* Document Type codes: **\*For a list of Document Type codes please refer to the DIS Implementation Guide chapter of the ACE ABI CATAIR.**
* File Size Limitations

The total email size including all attachments may not exceed 10MB

For larger documents please breakup into multiple documents and submit multiple emails for the same Entry (i.e. same email subject line) as indicated above in point (a).